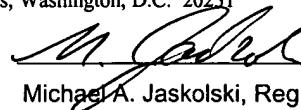


PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

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Michael A. Jaskolski, Reg. No. 37,551

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: James D. Coburn

Client Docket No. 98AB083C

Group No.:

Serial No.:

Examiner:

Filed: Herewith

For: Simulation Method And Apparatus For Use In Enterprise Controls

Attorney: 110003.00041

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**INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97**

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Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with  
37 CFR 1.97 and 1.98:

- A list of documents on form PTO-1449 together with copies of each identified document;
- A translation or a concise explanation of each non English language document is enclosed herewith.

This paper is submitted in accordance with:

- 37 CFR 1.97(b) [within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- 37 CFR 1.97(c) [before Final Office Action or Notice of Allowance]; and
- The required certification made under the heading "Certification" below;  
or

- The \$\_\_\_\_\_ fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized under the heading "Authorization to Charge Fees" below.
- 37 CFR §1.97(d) [before issue fee payment]; and
  - a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$\_\_\_\_\_ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees." (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
  - b) The required Certification is stated under the heading "Certification" below.

Certification

- Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

Authorization to Charge Fees

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account 17-0055.

Respectfully submitted,  
JAMES D. COBURN

By:

  
Michael A. Jaskolski  
Attorney for Applicant  
Reg No.: 37,551  
Quarles & Brady LLP  
411 East Wisconsin Ave.  
Milwaukee, WI 53202

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Substitute for form 1449A/PTO				<i>Compl t if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Application Number</b>	
				<b>Filing Date</b>	Herewith
				<b>First Named Inventor</b>	James D. Coburn
				<b>Group Art Unit</b>	
				<b>Examiner Name</b>	
Sheet	1	of	3	<b>Attorney Docket Number</b>	110003.00041.98AB083C

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	5,412,756		Bauman et al	5/2/95	
	2	5,548,756		Tantry, et al	8/20/96	
	3	5,377,309		Sonobe et al	12/27/94	
	4	5,398,336		Tantry, et al	3/14/95	
	5	5,787,438		Cink et al	7/28/98	
	6	5,042,002		Zink et al	8-1991	
	7	6,028,819		Mullarkey et al	2/2000	
	8	6,161,051		Hafemann et al	12/2000	
	9	6,108,662		Hoskins et al	8/2000	
	10	6,157,864		Schwenke et al	12/2000	
	11	6,167,406		Hoskins et al	12/2000	
	12	5,815,539		Lennen	9/1998	
	13	5,093,794		Howie et al	3/1992	
	14	5,943,675		Keith et al	8/1999	
	15	5,911,143		Deinhart et al	6/1999	
	16	5,369,570		Parad	11/29/1994	
	17	5,841,656		Taruishi	11/24/1998	
	18	5,956,728		Federighi et al	9/21/1999	
	19	6,268,853		Hoskins et al	7-31-2001	
	20	6,556,950		Schwenke et al	4-29-2003	

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST-3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002.

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Application Number</b>	
				<b>Filing Date</b>	Herewith
				<b>First Named Inventor</b>	James D. Coburn
				<b>Group Art Unit</b>	
				<b>Examiner Name</b>	
				<b>Attorney Docket Number</b>	110003.00041.98AB083C
Sheet	3	of	3		

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

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Sheet

2

of

3

Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Herewith

James D. Coburn

Attorney Docket Number

110003.00041.98AB083C

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Corporate Overview, The Template Approach - Solutions Tailored to Your Enterprise (14 pages) - (No Date)	
	2	Software Design Method for Concurrent and Real-Time Systems, Hassan Gomaa; pages 1-447 7/9/93	
	3	Design Simulation Of A Large-Scale Distributed Object System, pgs 374-400 (reprint of ACM 10/1988) 1999	
	4	Principles Of Object-Oriented Analysis and Design, James Martin, Juen 1, 1992, pg. 287	
	5	Template Software Inc., Version 8.0, Workflow Template Process Template, Developing a WFT Workflow System, Chapters 1-10, 1997	
	6	PR Newswire, Template Software Rolls Our Corporate and Product Growth Strategies at Solutions '97 Conference, April 3, 1997	
	7	PR Newswire Association Inc., Template Software Core Product Family With Ease-Of-Use and Functional Enhancement that Promote Unparalleled Software Reuse, June 23 1997	
	8	International Atomic Energy Agency, Advances in the Operational Safety of Nuclear Power Plants, 1996	
	9	Corporate Overview, The Template Approach - Solutions Tailored to Your Enterprise (14 pages) - (no date)	
	10	Object Oriented Analysis and Simulation", David RC Hill, Chapters 1-7, published 1996	
	11	Workflow Template Training Course, Template Software pages 1-51a, published 1997	

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